



## **SOLD WITHOUT PEDIGREE**

An owner who wishes to sell a Thoroughbred, but does not want the horse to compete in racing or to breed, should consider selling the horse without the Certificate of Foal Registration through a process with The Jockey Club entitled "Sold Without Pedigree". The owner must surrender the Certificate of Foal Registration to The Jockey Club within 60 days after the date of sale with accompanying documentation that the horse was sold or otherwise transferred "without pedigree".

Thoroughbreds that are sold without pedigree remain listed in the American Stud Book; however, their offspring are not eligible for registration and a Duplicate Certificate of Foal Registration will not be issued for the Thoroughbred.

Notations on the Certificate, such as "Not to be Raced", will not result in cancellation of the Certificate and may be regarded as defacing the Certificate. Submission of a defaced Certificate to The Jockey Club may result in the issuance of a Corrected Certificate of Foal Registration.

Rule 18 of the *Principal Rules and Requirements of the American Stud Book* provides more information on the process of a completing the sale of a Thoroughbred without pedigree. In addition, The Jockey Club has a form available to owners interested in selling a horse without pedigree to help satisfy the requirements.

### **18. SOLD WITHOUT PEDIGREE**

**A.** Any owner who desires a horse no longer to be considered a Thoroughbred for racing or breeding purposes must promptly surrender the Certificate of Foal Registration to The Jockey Club within 60 days after the date of sale with an accompanying notation that the horse was transferred or sold "without pedigree." The notation must be signed by the owner or authorized agent and indicate the date of disposition. In the event the owner or authorized agent surrenders the Certificate of Foal Registration to The Jockey Club in the above manner more than 60 days after the date of transfer or sale, then the new owner or transferee must also submit a statement that the horse was purchased or received without pedigree.

**B.** Upon receipt in The Jockey Club Registry Office, the respective Certificate of Foal Registration will be cancelled. Once the registration is cancelled, the horse cannot be reinstated into the registry, and a Duplicate Certificate of Foal Registration will not be issued.

**C.** Notations upon a Certificate of Foal Registration which do not clearly indicate transferred or sold without pedigree, including notations such as "not to be raced," shall not result in cancellation of the Certificate of Foal Registration. Such notations could be regarded as defacing the Certificate of Foal Registration. Submission to The Jockey Club of any such defaced Certificate of Foal Registration may cause a Corrected Certificate of Foal Registration to be issued.

The *Principal Rules and Requirements of the American Stud Book* are available online at:  
<http://www.registry.jockeyclub.com>