

## Recommendation to Racing Commissions: Stewards/Judges Code of Conduct

- 1. Stewards/Judges should uphold the integrity and independence of their office at all times, because an independent and uncompromised office is necessary for the effective execution of official duties.
- 2. Stewards/Judges shall avoid the appearance of any impropriety in their activities in order to maintain the highest standards of conduct so that their office shall not be compromised nor their integrity called into question.
- 3. Stewards/Judges shall not allow their office to be used to advance their private interests, the interests of others, or to convey the impression that they are able to be influenced or compromised.
- 4. Stewards/Judges shall attend to all business pending before their office in a prompt, impartial and courteous manner.
- 5. Stewards/Judges shall be respectful and courteous to all persons who have business before their office.
- 6. Stewards/Judges shall disqualify themselves from any business that comes before them where their integrity and fairness may be compromised due to a personal bias or prejudice.
- 7. All Stewards/Judges shall engage in activities that improve and promote the public confidence and the integrity of racing, to include interaction with other racing officials and racing organizations in the common goal of improving the horse racing industry.
- 8. Stewards/Judges shall regulate their activities to minimize the risk of a conflict of interest with their office.
- 9. Stewards/Judges shall not accept a gift or favor from anyone whose interests are likely to come before their office.
- 10. Stewards/Judges shall at all times maintain a level of professionalism and competence that is acceptable to their peers.
- 11. Stewards/Judges shall not willfully obstruct or stall any business before their office.
- 12. Stewards/Judges shall not make any wager or allow any wager to be made on their behalf on any horse race during their appointment\* to office. (\*Appointment to office defined as: The period of time during which a steward or judge is employed at a specific race meeting including dark days)

- 13. Stewards/Judges shall not participate in the sale, purchase or ownership of a racehorse, racing supplies or equipment during their appointment.
- 14. Stewards/Judges shall not sell or solicit horse insurance during their appointment to office.
- 15. Stewards/Judges shall not be licensed in any other capacity without permission of the commission during their appointment to office.
- 16. Stewards/Judges shall not consume or be under the influence of alcohol while performing official duties.
- 17. When interacting with a licensee, be aware of the potential for a conflict of interest or favoritism.